# Approximation of the maximal commutative subgroups of GL(n, R)

#### Oleg Karpenkov, TU Graz (jointly with Anatoly Vershik)

28 June 2012

Oleg Karpenkov, TU Graz Generalized rational approximations

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Institute of Geometry

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#### Diophantine approximations

#### **Diophantine approximations**

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#### Classical problem of Diophantine approximations

How to define good approximations

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#### Classical problem of Diophantine approximations

#### Definition

A rational number a/b (where b > 0) is a *best approximation* of a real  $\alpha$  if for any other fraction c/d with  $0 < d \le b$  it holds

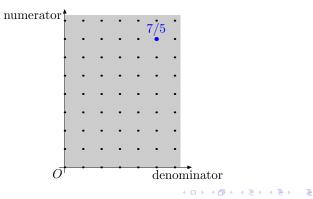
$$\left|\alpha - \frac{c}{d}\right| \ge \left|\alpha - \frac{a}{b}\right|.$$

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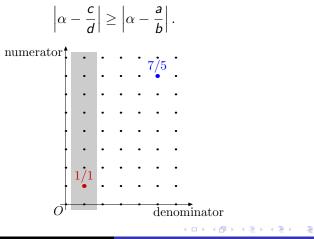
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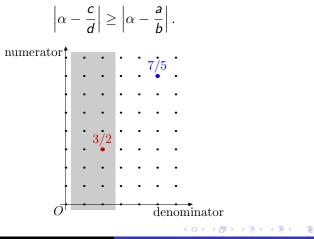
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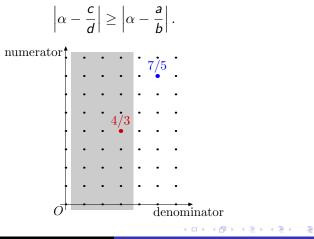
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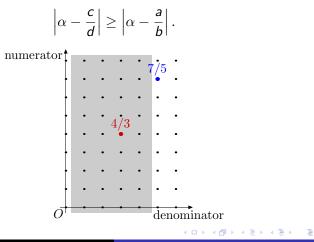
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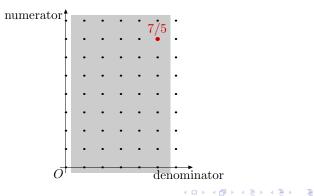
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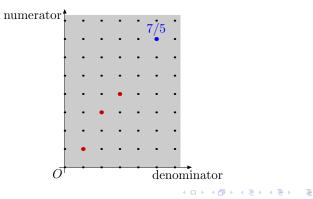
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#### Ordinary continued fractions

The expression (finite or infinite)

$$a_0 + 1/(a_1 + 1/(a_2 + \ldots)))$$

is an ordinary continued fraction if  $a_0 \in \mathbb{Z}$ ,  $a_k \in \mathbb{Z}_+$  for k > 0. Denote it  $[a_0 : a_1; \ldots]$  (or  $[a_0 : a_1; \ldots; a_n]$ ).

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Ordinary continued fraction is *odd* (*even*) if it has odd (even) number of elements.

$$\frac{7}{5} = 1 + \frac{1}{2 + \frac{1}{2}} = 1 + \frac{1}{2 + \frac{1}{1 + 1/1}}$$
$$\frac{7}{5} = [1:2;2] = [1:2;1;1]$$

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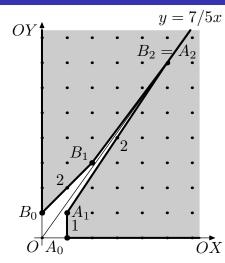
#### Proposition

Any rational number has a unique odd and even ordinary continued fractions.

Any irrational number has a unique infinite ordinary continued fraction

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### Geometry of continued fractions

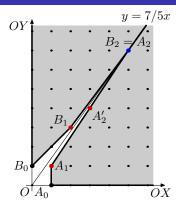


$$a_0 = l\ell(A_0A_1) = 1;$$
  
 $a_1 = l\ell(B_0B_1) = 2;$   
 $a_2 = l\ell(A_1A_2) = 2.$ 

$$7/5 = [1; 2: 2].$$

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#### Description of best approximations



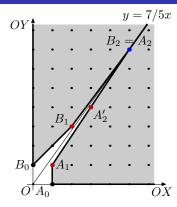
 $A_1 = (1, 1)$  1/1 = [1]; $B_1 = (3, 2)$  3/2 = [1; 2]; $A_2 = (7, 5)$  7/5 = [1; 2: 2];

$$A_2' = (4,3) \quad 4/3 = [1;2:1].$$

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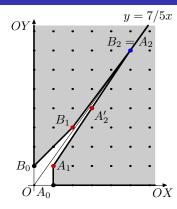
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#### Theorem

 $\alpha = [a_0; a_1 : \ldots : a_n]$ . Then the best approximations are

▶ 
$$p_k/q_k = [a_0; a_1 : ... : a_k];$$
  
▶  $extra: [a_0; a_1 : ... : a_{n-1} : a_n - 1].$ 

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Simultaneous approximation

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Problems related to approximations of vectors in  $\mathbb{R}^n$  by rational vectors.

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#### Simultaneous approximation

Problems related to approximations of vectors in  $\mathbb{R}^n$  by rational vectors.

- The same model of best approximation
- Algorithms: Jacobi-Perron, matrix method, etc.

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#### Simultaneous approximation

## Approximation of maximal commutative subgroups in $SL(n, \mathbb{R})$

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- We work in  $GL(n, \mathbb{R})$
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- Generators  $E, A, A^2, \ldots, A^{n-1}$
- MCRS-group  $\frac{1-1}{2}$  a collection of conjugate *n* planes in  $\mathbb{C}^n$

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#### Markoff-Davenport form

Consider a MCRS-group  $\mathcal{A}$ .

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Markoff-Davenport form

$$\Phi_{\mathcal{A}}(x) = \frac{\prod_{k=1}^{n} (L_k(x_1, \ldots, x_n))}{\Delta(L_1, \ldots, L_n)}$$

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## Example

Consider an MCRS-group containing a Fibonacci operator

$$\left(\begin{array}{rr}1 & 1\\ 1 & 0\end{array}\right).$$

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Fibonacci operator has two eigenlines

$$y = -\theta x$$
 and  $y = \theta^{-1} x$ ,

where  $\theta$  is the golden ration  $\frac{1+\sqrt{5}}{2}$ .

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The Markoff-Davenport form of Fibonacci operator is

$$rac{(y+ heta x)(y- heta^{-1}x)}{ heta- heta^{-1}}=rac{1}{\sqrt{5}}(-x^2+xy+y^2).$$

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### Rational subgroups and their sizes

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#### Definition

An MCRS-group  $\mathcal{A}$  is *rational* if all its eigenspaces contain *Gaussian* vectors, i. e. vectors type a + lb for integers a and b, where  $l^{2} = -1$ .

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#### Example

$$\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \text{ with eigenvectors } (I, 1) \text{ and } (-I, 1), \\ \begin{pmatrix} 1 & 1 \\ 4 & 1 \end{pmatrix} \text{ with eigenvectors } (1, 2) \text{ and } (1, -2)$$

Denote them by  $A_i$  and  $A_{ii}$ .

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**Size**:  $\nu(\mathcal{A}) = \max_{i=1,\dots,n} \{ |v_j| | v - a \text{ primitive Gaussian vector in } l_i \}$ 

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Size:  $\nu(\mathcal{A}) = \max_{i=1,...,n} \{ |v_i| | v - a \text{ primitive Gaussian vector in } l_i \}$  $\nu(\mathcal{A}_i) = 1, \qquad \nu(\mathcal{A}_{ii}) = 2.$ Examples:

## Discrepancy functional

#### Definition

For MCRF-groups  $\mathcal{A}_1$  and  $\mathcal{A}_2$  consider two symmetric quadratic forms

$$\Phi_{\mathcal{A}_1}(v) + \Phi_{\mathcal{A}_2}(v)$$
 and  $\Phi_{\mathcal{A}_1}(v) - \Phi_{\mathcal{A}_2}(v)$ 

Let  $a_i$ ,  $b_i$  – the coefficients of them.  $\rho(\mathcal{A}_1, \mathcal{A}_2) = \min(\max_i(a_i), \max_i(b_i)).$ Discrepancy: Discrepancy is a natural distance between MCRF-groups.

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$$\left|\Phi_{\mathcal{A}_{i}}(v)\pm\Phi_{\mathcal{A}_{ii}}(v)\right|=\left|Irac{x^{2}+y^{2}}{2}\pmrac{y^{2}-4x^{2}}{4}
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Therefore,  $\rho(\mathcal{A}_i, \mathcal{A}_{ii}) = \frac{\sqrt{3}}{2}$ .

## General approximation model

#### Definition

A rational MCRS-group  $\mathcal{A}$  is a *best approximation* of  $\mathcal{A}$  if for any other  $\mathcal{A}'$  with  $\nu(\mathcal{A}') \leq \nu(\mathcal{A})$  it holds

 $\rho(\mathcal{A}, \mathcal{A}_N) < \rho(\mathcal{A}, \mathcal{A}').$ 

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#### To compare:

A rational number a/b is a *best approximation* of a real  $\alpha$  if for any other fraction c/d with 0 < d < b it holds

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$$\left| \alpha - \frac{c}{d} \right| \geq \left| \alpha - \frac{a}{b} \right|.$$

Here  $\nu(a/b) = b$ , and  $\rho(\alpha_1, \alpha_2) = |\alpha_1 - \alpha_2|$ .

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## Special case 1: Diophantine approximations

For a real  $\alpha$  denote by  $\mathcal{A}[\alpha]$  an MCRS-group of  $GL(2,\mathbb{R})$  defined by the two spaces x = 0 and  $y = \alpha x$ .

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#### Then

• Let  $0 \le \frac{m}{n} \le 1$  then we have (with max-norm)

$$\nu\left(\mathcal{A}\left[\frac{m}{n}\right]\right)=n.$$

 $\triangleright \rho(\mathcal{A}[\alpha_1], \mathcal{A}[\alpha_2]) = |\alpha_1 - \alpha_2|$ 

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#### Diophantine approximations $\subset$ MCRS-group approximations.

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## Special case 2: Simultaneous approximations

Let  $\mathcal{A}[a, b, c]$  denotes the MCRS-group defined by vectors

(a, b, c), (0, 1, I), (0, 1, -I).

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▶ For Gaussian simple [*a*, *b*, *c*] we have

$$\nu(\mathcal{A}[a,b,c]) = |[a,b,c]|.$$

► Denote 
$$\mathcal{A} = \mathcal{A}[a, b, c]$$
 and  $\mathcal{A}' = \mathcal{A}[a', b', c']$ , then  

$$\rho(\mathcal{A}, \mathcal{A}') = \min\left(\max\left(\left|\frac{b}{a} - \frac{b'}{a'}\right|, \left|\frac{c}{a} - \frac{c'}{a'}\right|, \left|\frac{b^2 + c^2}{2a^2} - \frac{b'^2 + c'^2}{2a'^2}\right|\right), \\ \max\left(\left|\frac{b}{a} + \frac{b'}{a'}\right|, \left|\frac{c}{a} + \frac{c'}{a'}\right|, \left|\frac{b^2 + c^2}{2a^2} + \frac{b'^2 + c'^2}{2a'^2}\right|\right)\right).$$

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Simultaneous approximations " $\subset$ " MCRS-group approximations.

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## General approximation in 2D

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## General approximation in 2D

- Hyperbolic (totally real) case
- Nonhyperbolic (complex) case

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Denote by  $\mathcal{A}[\alpha_1, \alpha_2]$  an MCRS-group with eigenspaces  $y = \alpha_1 x$  and  $y = \alpha_2 x$ .

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#### Theorem

Let  $\alpha_1$  and  $\alpha_2$  be real numbers having infinite continued fractions with bounded elements. Then there exist  $C_1$ ,  $C_2 > 0$  such that for any N > 0 we have

$$\frac{C_1}{N^2} < \rho(\mathcal{A}[\alpha_1, \alpha_2], \mathcal{A}_N[\alpha_1, \alpha_2]) < \frac{C_2}{N^2}.$$

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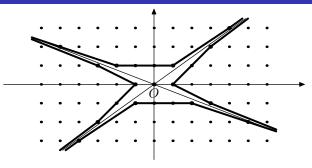
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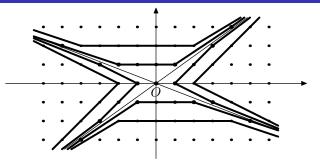
#### Example

Let  $\varepsilon > 0$ . Consider  $\alpha_1 = [a_0, a_1, \ldots]$ , such that  $a_0 = 1$ ,  $a_n = (n_{k-1})^{M-1}$ . Denote  $\frac{m_k}{n_k} = [a_0, \ldots, a_k]$ . Let  $\alpha_2 = 0$ . Take  $N_k = \frac{n_k + n_{k+1}}{2}$ . Then there exists C > 0 such that for any integer i we have

$$\rho(\mathcal{A}, \mathcal{A}_{N_i}) \geq \frac{C}{N_i^{1+\varepsilon}}.$$



The set of all sails is called *geometric continued fraction* (in the sense of Klein).

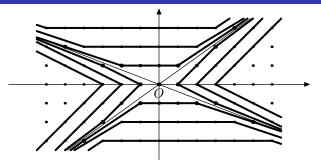


2-sails.

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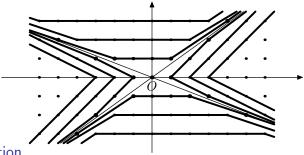
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3-sails.

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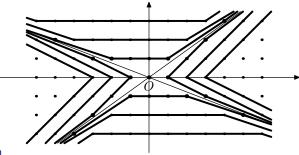


#### Proposition

The k-sail is homothetic to the 1-sail with coefficient k.

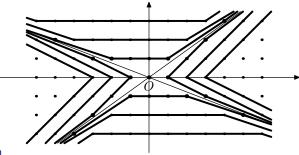
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#### Theorem

Let A be an algebraic (with periodic sail) MCRS-group. Then there exists C > 0 such that for any N > 0 the following holds. Let  $A_N$  be defined by primitive vectors  $v_1$  and  $v_2$  contained in  $k_1$ and  $k_2$ -geometric continued fractions respectively, then  $k_1, k_2 \leq C$ .



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### Conjecture

The constant C can be chosen to be equal to 1.

Denote by  $\mathcal{A}[\alpha+I\beta]$  an MCRS-group with eigenspaces  $y = (\alpha+I\beta)x$ and  $y = (\alpha - I\beta)x$ .

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## Non-Hyperbolic case in 2D

Denote by  $\mathcal{A}[\alpha + I\beta]$  an MCRS-group with eigenspaces  $y = (\alpha + I\beta)x$ and  $\mathbf{v} = (\alpha - I\beta)\mathbf{x}$ .

#### Theorem

Let  $\alpha$  and  $\beta$  be real numbers having infinite continued fractions with bounded elements. Then there exist  $C_1, C_2 > 0$  such that for any N > 0 we have

$$\frac{C_1}{N^2} < \rho(\mathcal{A}[\alpha + I\beta], \mathcal{A}_N[\alpha + I\beta]) < \frac{C_2}{N^2}.$$

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### What to do next?

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## What to do next?

How do the best approximations of MCRS-groups in  $\mathbb{R}^3$  related to

- vertices of Klein polyhedra;
- Minkovski minima:
- etc.

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