

# Hausdorff Dimension of Sets of Numbers with Prescribed Digit Densities

Ladislav Mišík, Ostrava

Jan Šustek, Ostrava

Bodo Volkmann, Stuttgart

József Bukor, Komárno

Smolenice 29. 6. 2012

# 1 Definitions

- Class of infinite subsets

$$\mathcal{P}_\infty = \{A \subseteq \mathbb{N} \mid \text{card } A = \infty\}$$

- Dyadic mapping  $\varrho: \mathcal{P}_\infty \rightarrow (0, 1]$

$$\varrho(A) = \sum_{n=1}^{\infty} \frac{\chi_A(n)}{2^n}$$

- Asymptotic density of  $A \in \mathcal{P}_\infty$

$$\bar{d}(A) = \overline{\lim}_{N \rightarrow \infty} \frac{\text{card}\{n \in A \mid n \leq N\}}{N}$$

- Borel (1909)

$$\lambda_\varrho \left\{ A \in \mathcal{P}_\infty \mid \bar{d}(A) = \frac{1}{2} \right\} = 1$$

# 1 Definitions

- Class of infinite subsets

$$\mathcal{P}_\infty = \{A \subseteq \mathbb{N} \mid \text{card } A = \infty\}$$

- Dyadic mapping  $\varrho: \mathcal{P}_\infty \rightarrow (0, 1]$

$$\varrho(A) = \sum_{n=1}^{\infty} \frac{\chi_A(n)}{2^n}$$

- Asymptotic density of  $A \in \mathcal{P}_\infty$

$$\bar{d}(A) = \overline{\lim}_{N \rightarrow \infty} \frac{\text{card}\{n \in A \mid n \leq N\}}{N}$$

- Borel (1909)

$$\lambda_\varrho \left\{ A \in \mathcal{P}_\infty \mid d(A) = \frac{1}{2} \right\} = 1$$

- Question

$$\dim \varrho \{ A \in \mathcal{P}_\infty \mid \underline{d}(A) = \alpha, \bar{d}(A) = \beta \} = ?$$

- For  $0 \leq \alpha \leq \beta \leq 1$  define

$$\mathcal{G}(\alpha, \beta) = \{A \in \mathcal{P}_\infty \mid \underline{d}(A) = \alpha, \bar{d}(A) = \beta\}$$

$$\dim \rho \mathcal{G}(\alpha, \beta) =$$

- For  $0 \leq \alpha \leq \beta \leq 1$  define  $\mathcal{G}(\alpha, \beta) = \{A \in \mathcal{P}_\infty \mid \underline{d}(A) = \alpha, \bar{d}(A) = \beta\}$
- Entropy function  $\delta: [0, 1] \rightarrow [0, 1]$   $\delta(x) = -x \log x - (1 - x) \log(1 - x)$
- Volkmann (1952)  $\dim \rho \mathcal{G}(\alpha, \beta) = \min\{\delta(\alpha), \delta(\beta)\}$

- For  $0 \leq \alpha \leq \beta \leq 1$  define  $\mathcal{G}(\alpha, \beta) = \{A \in \mathcal{P}_\infty \mid \underline{d}(A) = \alpha, \bar{d}(A) = \beta\}$
- Entropy function  $\delta: [0, 1] \rightarrow [0, 1]$   $\delta(x) = -x \log x - (1 - x) \log(1 - x)$
- Volkmann (1952)  $\dim \rho \mathcal{G}(\alpha, \beta) = \min\{\delta(\alpha), \delta(\beta)\}$
- Gap density of  $A = \{a_1 < a_2 < \dots\} \in \mathcal{P}_\infty$   $g(A) = \limsup_{n \rightarrow \infty} \frac{a_{n+1}}{a_n}$
- Inequalities  $1 \leq g(A) \leq \frac{\bar{d}(A)}{\underline{d}(A)}$

- For  $0 \leq \alpha \leq \beta \leq 1$  define  $\mathcal{G}(\alpha, \beta) = \{A \in \mathcal{P}_\infty \mid \underline{d}(A) = \alpha, \bar{d}(A) = \beta\}$
- Entropy function  $\delta: [0, 1] \rightarrow [0, 1]$   $\delta(x) = -x \log x - (1 - x) \log(1 - x)$
- Volkmann (1952)  $\dim \rho \mathcal{G}(\alpha, \beta) = \min\{\delta(\alpha), \delta(\beta)\}$
- Gap density of  $A = \{a_1 < a_2 < \dots\} \in \mathcal{P}_\infty$   $g(A) = \limsup_{n \rightarrow \infty} \frac{a_{n+1}}{a_n}$
- Inequalities  $1 \leq g(A) \leq \frac{\bar{d}(A)}{\underline{d}(A)}$
- For  $0 \leq \alpha \leq \beta \leq 1 \leq \gamma \leq \frac{\beta}{\alpha}$  define  $\mathcal{G}(\alpha, \beta, \gamma) = \{A \in \mathcal{P}_\infty \mid \underline{d}(A) = \alpha, \bar{d}(A) = \beta, g(A) = \gamma\}$
- Question  $\dim \rho \mathcal{G}(\alpha, \beta, \gamma) = ?$

## 2 Segments and Descendants

- Initial segment of  $A \in \mathcal{P}_\infty$   $A^n = A \cap \{1, \dots, n\}$
- Initial segments of  $\mathcal{S} \subseteq \mathcal{P}_\infty$   $\mathcal{S}^n = \{A^n \mid A \in \mathcal{S}\}$



## 2 Segments and Descendants

- Initial segment of  $A \in \mathcal{P}_\infty$

$$A^n = A \cap \{1, \dots, n\}$$

- Initial segments of  $\mathcal{S} \subseteq \mathcal{P}_\infty$

$$\mathcal{S}^n = \{A^n \mid A \in \mathcal{S}\}$$

$\mathcal{S} = \{$   
 0001001010110101011...,  
 0011001010011001001...,  
 0011001001110100011...,  
 0011110101011001010...,  
 1000100101010010101...,  
 1001000111000101011...,  
 1001010101100100010...,  
 1001010110010011101...,  
 1001100101011001100...,  
 1100111010010011101...,  
 1100111100101000101...}

card  $\mathcal{S} = 11$

## 2 Segments and Descendants

- Initial segment of  $A \in \mathcal{P}_\infty$

$$A^n = A \cap \{1, \dots, n\}$$

- Initial segments of  $\mathcal{S} \subseteq \mathcal{P}_\infty$

$$\mathcal{S}^n = \{A^n \mid A \in \mathcal{S}\}$$

$$\mathcal{S}^4 = \{0001001010110101011\dots, \\ 0011001010011001001\dots, \\ 0011001001110100011\dots, \\ 0011110101011001010\dots, \\ 1000100101010010101\dots, \\ 1001000111000101011\dots, \\ 1001010101100100010\dots, \\ 1001010110010011101\dots, \\ 1001100101011001100\dots, \\ 1100111010010011101\dots, \\ 1100111100101000101\dots\}$$

$$\text{card } \mathcal{S}^4 = 5$$

## 2 Segments and Descendants

- Initial segment of  $A \in \mathcal{P}_\infty$

$$A^n = A \cap \{1, \dots, n\}$$

- Initial segments of  $\mathcal{S} \subseteq \mathcal{P}_\infty$

$$\mathcal{S}^n = \{A^n \mid A \in \mathcal{S}\}$$

$$\mathcal{S}^8 = \{0001001010110101011\dots, \\ 0011001010011001001\dots, \\ 0011001001110100011\dots, \\ 0011110101011001010\dots, \\ 1000100101010010101\dots, \\ 1001000111000101011\dots, \\ 1001010101100100010\dots, \\ 1001010110010011101\dots, \\ 1001100101011001100\dots, \\ 1100111010010011101\dots, \\ 1100111100101000101\dots\}$$

$$\text{card } \mathcal{S}^8 = 9$$

- Descendants of  $\mathcal{T} \subseteq \mathcal{S}^k$  in  $\mathcal{S}^n$

$$\mathcal{S}^n(\mathcal{T}) = \bigcup_{T \in \mathcal{T}} \{S \in \mathcal{S}^n \mid S^k = T^k\}$$

$$\mathcal{S}^4 \supset \mathcal{T} = \{0001001010110101011\dots, \\ 0011001010011001001\dots, \\ 0011001001110100011\dots, \\ 0011110101011001010\dots, \\ 1000100101010010101\dots, \\ 1001000111000101011\dots, \\ 1001010101100100010\dots, \\ 1001010110010011101\dots, \\ 1001100101011001100\dots, \\ 1100111010010011101\dots, \\ 1100111100101000101\dots\}$$

$$\text{card } \mathcal{T} = 2$$

$$\mathcal{S}^8(\mathcal{T}) = \{0001001010110101011\dots, \\ 0011001010011001001\dots, \\ 0011001001110100011\dots, \\ 0011110101011001010\dots, \\ 1000100101010010101\dots, \\ 1001000111000101011\dots, \\ 1001010101100100010\dots, \\ 1001010110010011101\dots, \\ 1001100101011001100\dots, \\ 1100111010010011101\dots, \\ 1100111100101000101\dots\}$$

$$\text{card } \mathcal{S}^8(\mathcal{T}) = 5$$

### 3 Homogeneity

- Homogeneous class  $\frac{\text{card } \mathcal{S}^n(S)}{\text{card } \mathcal{S}^n(T)} = 2^{o(k)}$  for every  $n_0 \leq k < n \leq ck$  and  $S, T \in \mathcal{S}^k$

### 3 Homogeneity

- Homogeneous class  $\frac{\text{card } \mathcal{S}^n(S)}{\text{card } \mathcal{S}^n(T)} = 2^{o(k)}$  for every  $n_0 \leq k < n \leq ck$  and  $S, T \in \mathcal{S}^k$

- $\mathcal{S} = \left\{ A \subseteq \mathcal{P}_\infty \mid \frac{A(n_0)}{n_0} \geq \frac{1}{2} \Rightarrow n \in A \forall n > n_0 \right\}$  not homogeneous

$\mathcal{S}^4 = \{$ $S =$ $T =$ $\dots\}$	$\mathcal{S}^8 = \{$ $\mathcal{S}^8(S) =$ $\mathcal{S}^8(T) =$ $\dots\}$
---	---

## 4 Saturation

- For every  $\mathcal{S} \subseteq \mathcal{P}_\infty$

$$\varrho\mathcal{S} \subseteq \bigcap_{n=1}^{\infty} \underbrace{\bigcup_{S \in \mathcal{S}} \{\varrho(T) \mid T \in \mathcal{P}_\infty \text{ and } S^n = T^n\}}_{\text{covering of } \varrho\mathcal{S} \text{ with } \left(\frac{a}{2^n}, \frac{a+1}{2^n}\right]}$$

## 4 Saturation

- For every  $\mathcal{S} \subseteq \mathcal{P}_\infty$

$$\varrho\mathcal{S} \subseteq \bigcap_{n=1}^{\infty} \underbrace{\bigcup_{S \in \mathcal{S}} \{\varrho(T) \mid T \in \mathcal{P}_\infty \text{ and } S^n = T^n\}}_{\text{covering of } \varrho\mathcal{S} \text{ with } \left(\frac{a}{2^n}, \frac{a+1}{2^n}\right]}$$

- Saturated class

$$\varrho\mathcal{S} = \bigcap_{n=1}^{\infty} \bigcup_{S \in \mathcal{S}} \{\varrho(T) \mid T \in \mathcal{P}_\infty \text{ and } S^n = T^n\}$$



## 4 Saturation

- For every  $\mathcal{S} \subseteq \mathcal{P}_\infty$ 

$$\varrho\mathcal{S} \subseteq \bigcap_{n=1}^{\infty} \underbrace{\bigcup_{S \in \mathcal{S}} \{\varrho(T) \mid T \in \mathcal{P}_\infty \text{ and } S^n = T^n\}}_{\text{covering of } \varrho\mathcal{S} \text{ with } \left(\frac{a}{2^n}, \frac{a+1}{2^n}\right]}$$
- Saturated class
 
$$\varrho\mathcal{S} = \bigcap_{n=1}^{\infty} \bigcup_{S \in \mathcal{S}} \{\varrho(T) \mid T \in \mathcal{P}_\infty \text{ and } S^n = T^n\}$$
- $\varrho^{-1}(\mathbb{Q} \cap (0, 1])$  not saturated, RHS =  $(0, 1]$
- $\mathcal{G}(\alpha, \beta, \gamma) = \{A \in \mathcal{P}_\infty \mid \underline{d}(A) = \alpha, \bar{d}(A) = \beta, g(A) = \gamma\}$  not saturated, RHS =  $(0, 1]$

## 5 Theorems

- Mišík, Volkmann, Šustek (201?)

For homogeneous and saturated  $\mathcal{S} \subseteq \mathcal{P}_\infty$

$$\dim_\rho \mathcal{S} = \liminf_{n \rightarrow \infty} \frac{\log \text{card } \mathcal{S}^n}{n}$$

## 5 Theorems

- Mišík, Volkmann, Šustek (201?)

For homogeneous and saturated  $\mathcal{S} \subseteq \mathcal{P}_\infty$

$$\dim_{\rho} \mathcal{S} = \liminf_{n \rightarrow \infty} \frac{\log \text{card } \mathcal{S}^n}{n}$$

- Volkmann (1953) For  $A \in \mathcal{P}_\infty$

$$\dim_{\rho} \{B \subseteq A\} = \underline{d}(A)$$

## 5 Theorems

- Mišík, Volkmann, Šustek (201?)

For homogeneous and saturated  $\mathcal{S} \subseteq \mathcal{P}_\infty$

$$\dim_{\varrho} \mathcal{S} = \liminf_{n \rightarrow \infty} \frac{\log \text{card } \mathcal{S}^n}{n}$$

- Volkmann (1953) For  $A \in \mathcal{P}_\infty$

$$\dim_{\varrho} \{B \subseteq A\} = \underline{d}(A)$$

- Volkmann (1952)

$$\dim_{\varrho} \mathcal{G}(\alpha, \beta) = \min\{\delta(\alpha), \delta(\beta)\}$$

## 5 Theorems

- Mišík, Volkmann, Šustek (201?)

For homogeneous and saturated  $\mathcal{S} \subseteq \mathcal{P}_\infty$

$$\dim \varrho \mathcal{S} = \liminf_{n \rightarrow \infty} \frac{\log \text{card } \mathcal{S}^n}{n}$$

- Volkmann (1953) For  $A \in \mathcal{P}_\infty$

$$\dim \varrho \{B \subseteq A\} = \underline{d}(A)$$

- Volkmann (1952)

$$\dim \varrho \mathcal{G}(\alpha, \beta) = \min \{ \delta(\alpha), \delta(\beta) \}$$

- Mišík, Volkmann, Šustek (201?)

$$\dim \varrho \mathcal{G}(\alpha, \beta, \gamma) = \min \left\{ \delta(\alpha), \delta(\beta), \frac{1}{\gamma} \max_{\sigma \in [\alpha\gamma, \beta]} \delta(\sigma) \right\}$$

**Thank You for Your Attention!**